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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/972,743

10/05/2001

John Flygare ORIGINALLYFILE

0187810-18230

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EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 5345

FORMALITIES LETTER

OC000000006969912*

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

COPY OF PRI

03/19/2002 BABRAHA1 00000132 201430

09972743

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:105 03 FC:103 740.00 CH 130.00 CH

1332,66 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1332.
 - \$1332 for 74 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2202.

A copy of this notice MUST be returned with the reply.

Customer Service Cente

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box — Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

C.				ati n Number	09/972,743 October 5, 2001 Clark, David L. 1624		
TRANSMITTAL			Filing Date				
·`` 👸 FORM				lam d Inv nt r			
(to be used for all correspondence after initial filing)			Group Art Unit				
& TRADE	•		Exami	ner Name			
otal Number of Pages in This Submission			Attorne	ey Docket Number	018781-001823US		
		ENCL	OSURES	(check all that apply)			
Fee Transmittal F	Assignment Papers (for an Application)			After Allowance Communication to Group			
Fee Attached	Drawing(s)			Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information			
Affidavits/decl	Petition to Convert to a Provisional Application			Status Letter			
Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):			
Express Abandonment Request		Terminal Disclaimer			Return Postcard		
		Request for Refund			Copy of Notice to be returned		
Information Disclo	sure Statement	CD, Number of CD(s)			and the second s		
Certified Copy of I	The Commissioner is authorized to charge any additional for Remarks Deposit Account 20-1430.						
Response to Miss							
Response to I Parts under 3 1.52 or 1.53	٠.	· •					
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, C	DR AGENT		
Firm	Townsend and Tov						
and Individual name	William B. Kezer		Reg. No. 37,369				
Signature	Will	. B. Ku	z~				
Date	3.06.0	_	<u> </u>				
		CE	RTIFICA	TE OF MAILING			
					tal Service with sufficient postage as first ton, D.C. 20231 on this date:		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

1. Hardi

Date

Signature

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CERT TO A MODALET A L	Complete if Known				
PE FEE IRANSMITTAL	Application Number	09/972,743 COPY OF PAPEHS			
FEFEE TRANSMITTAL for FY 2001	Filing Date	October 5, 2001			
	First Named Inventor	Clark, David L.			
Patent fees are subject to annual revision.	Examiner Name				
Patent fees are subject to annual revision.	Group Art Unit	1624			
TOTAL AMOUNT OF PAYMENT (\$) 2992	Attorney Docket No.	018781-001823US			

METHOD OF PAYMENT						FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge				3. AD	3. ADDITIONAL FEES							
1.		indicat	ed fees a	and credit any o	ver payments to:	Large	Entity	Small	Entity			
Depos	n id					Fee	Fee	Fee	Fee	Fee Description	Fee	
Account 20-1430				Code	(\$)	Code	(\$)	• • •	Paid			
Numb	er					105	130 50	205	65	Surcharge - late filing fee or oath	——	
Depos	sit					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Townsend and Townsend and Crew LLP						139	130	139	130	Non-English specification		
Name		L			J	147	2,520	147	2,520	For filing a request for reexamination		
	Inder 37	ny Addition CFR 1.16	and 1.17			112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
		claims sm FR 1.27	all entity	status.		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.	Payme	ent Enclos	sed:			115	110	215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other						116	400	216	200	Extension for reply within second month		
Order FEE CALCULATION					117	920	217	460	Extension for reply within third month	920		
1. B	ASIC FI	LING FEE		LCULATION		118	1,440	218	720	Extension for reply within fourth month		
	Entity		ntity			128	1,960	228	980	Extension for reply within fifth month		
-	Fee	Fee F	ee F	ee Description		119	320	219	160	Notice of Appeal		
Code	(\$)	Code (\$)	-	Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101	740	201 3	370 U	Itility filing fee	740	121	280	221	140	Request for oral hearing		
	330 510			esign filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
108	740	208 3		leissue filing fee		140	110	240	55	Petition to revive – unavoidable		
114	160	214 8		rovisional filing	fee	141	1,280	241	640	Petition to revive – unintentional		
The second state of the se				142	1,280	242	640	Utility issue fee (or reissue)				
		SUE	BTOTAL	(1)	(\$)740] 143	460	243	230	Design issue fee		
2. EXTR	A CL AII	M EEES				144	620	244	310	Plant issue fee		
Z. EXIR	A CLAI	WIFEES	F	xtra Fe	from Fee	122	130	122	130	Petitions to the Commissioner		
Total Claims	s 94	-20**		laims bel		123	50	123	50	Petitions related to provisional applications		
Independent Claims	3	-3**	= 0	× \$	84 = \$0	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent		 -	L	×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Fee	Entity Fee	Small Fee	Entity Fee			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code	(\$)	Code	(\$)	Fee Descript	on	149	740	249	370	For each additional invention to be		
103	18	203	9	Claims in exce						examined (37 CFR § 1.129(b))		
102	84	202	42		laims in excess of 3	179	740	279	370	Request for Continued Examination (RCE)		
104	280	204	140		ndent claim, if not paid	169	900	460	000		\vdash	
109	84	209	42	** Reissue ind original patent	ependent claims over	109	900	169	900	Request for expedited examination of a design application		
110	18	210	9	** Reissue cla over original p	ims in excess of 20 an atent		Other fee (specify)					
			su	BTOTAL (2)	(\$)1332		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
						*Redu	iced by Ba	asic Filin	g Fee P	aid SUBTOTAL (3) (\$)920		
**or numb	er previo	usly paid, if	greater; I	For Reissues, se	above							

SUBMITTED BY				С	omplete (if applicable)	
Name (Print/Type)	William B. Kezer	Registration No. (Attorney/Agent)	37,369	Telephone	925-472-5000	
Signature	1/-	Il B. Kyn	Date	3-6-02		

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